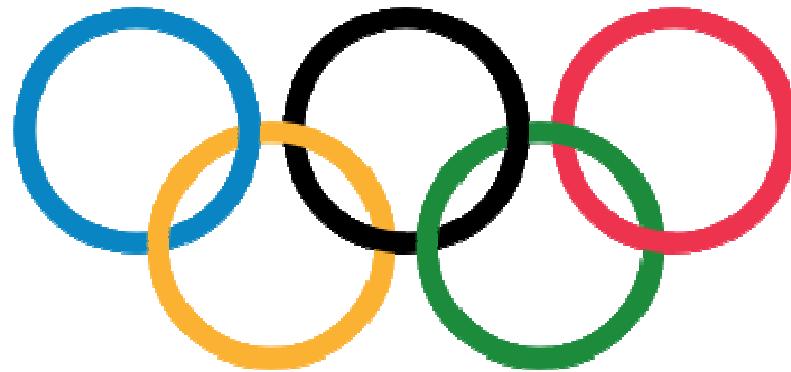


Overview and future needs for ESRF double crystal monochromators dedicated to spectroscopy

**O. Mathon, P. Glatzel, M. Krisch, A. Rogalev, M. Salome,
R. Tucoulou and S. Pascarelli**

INTRODUCTION



Grenoble 1968, winter Olympic games



Workshop dinner, close to the Olympic springboard

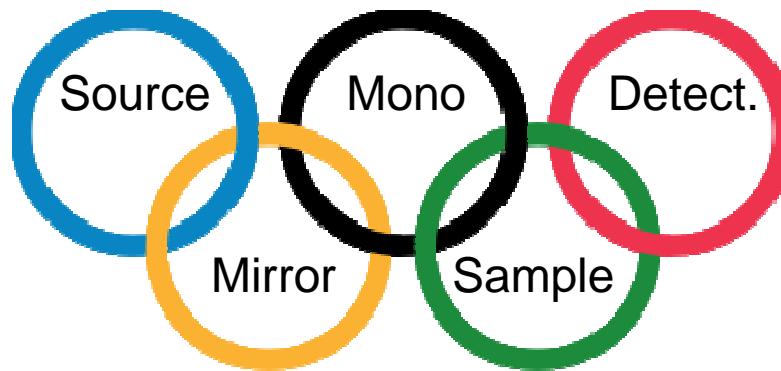
INTRODUCTION

... but also because we propose to adopt for the monochromator workshop
... the Olympic motto, proposed by Pierre de Coubertin on the
creation of the International Olympic Committee in 1894...

Citius, Altius, Fortius

... which is Latin for "Faster, Higher, Stronger."

Also because the Olympic symbol, the rings could be a good illustration of the role
of the mono ...central ... but inside a complex instrumentation chain



Double Crystal Monochromator for spectroscopy

- Overview of ESRF double crystal monochromators dedicated to spectroscopy
- Present status and future needs
- Monochromator specifications for spectroscopy applications

SPECTROSCOPY AT ESRF

At ESRF, 14 beamlines are performing spectroscopy activity
(+ 1 soft ID32 + 1 EDXAS ID24)

6 ESRF beamlines

ID12	Polarization dependent spectroscopy	Linear and circular dichroism, XANES
ID16B	NINA	Nano-XRF, nano-spectroscopy
ID20	IXS 1	Inelastic X-ray scattering
ID21	X-ray microscopy	Soft X-ray Nano-XRF, nano-spectroscopy
BM23	EXAFS	EXAFS, XANES, micro-XAS, XRF
ID26	XAS-XES	Emission spectroscopy, XANES, EXAFS

6 CRG beamlines

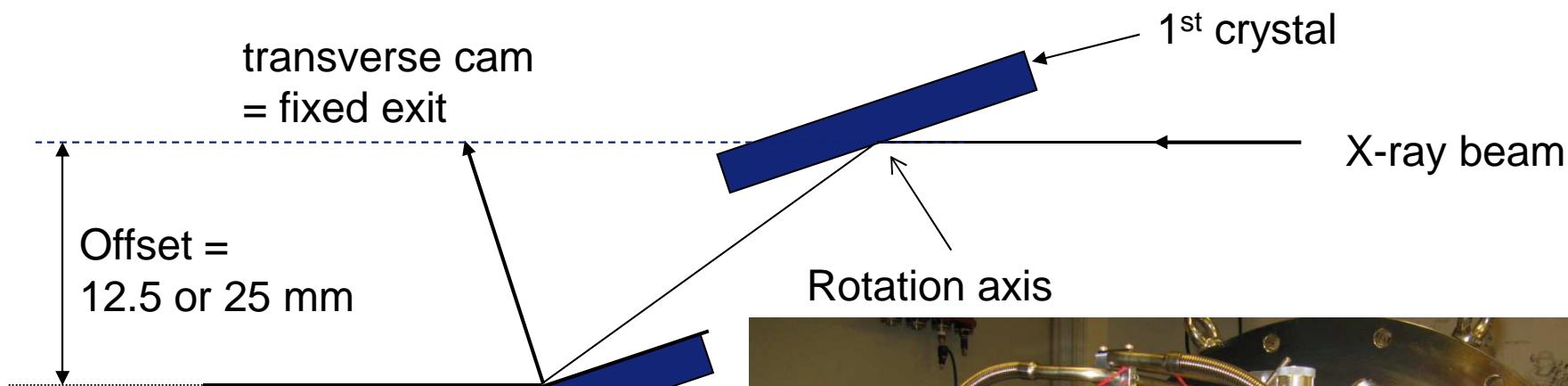
BM01B	SNBL	Combined XRD/XAFS/Raman measurements in operando conditions
BM08A	Gilda	EXAFS, XANES, Refl-XAS
BM20B	RoBL	Radiochemistry XAFS
BM25A	SpLine	EXAFS-XANES
BM26A	DUBBLE	EXAFS, XANES, catalysis infrastructure
BM30B	FAME	XAFS on highly diluted materials, XES, XRF and microXAS

All of them use a Double Crystal Monochromator

ESRF BEAMLINES – MONOCHROMATOR TECHNOLOGY

	ID12	ID16	ID20	ID21	BM23	ID26
Type	Fixed exit double cam	Fixed exit double cam	Fixed exit double cam	Fixed exit double cam	Fixed exit double cam	Fixed exit double cam
Manufact.	Kohzu	Kohzu	Kohzu	Kohzu	Kohzu	Kohzu
Crystals	111	111/311	111	111/220/ Multilayers	111/311/511	220/311
Angular stroke (°)	9 – 78	3 - 26	5 – 30	3 – 75	3 – 30	5 – 60
resolution	0.1 "	0.2 "	0.2 "	0.2 "	0.1 "	0.1"
Offset (mm)	-12.5	-12.5	Variable	-12.5	+25	-25
Cooling	He gas at -190 °C, braids	LN2, side cooling	LN2, side cooling	N ₂ at -4 °C	LN2, braids	LN2, side cooling
Upgrade/ modification	Cooling	Cooling, Support	Cooling, geometry, Suppression of horiz. cam	Cooling	Cooling, Support, crystal cage	Cooling, motorization of the horiz. cam
Optimized for...	Polarization, S/N	High energy with nano beam	Inelastic scattering	Low energy with micro beam	EXAFS, S/N, ΔE μXAS	RXES, High flux

KOHZU DOUBLE CAM FIXED EXIT MONOCHROMATOR PRINCIPLE

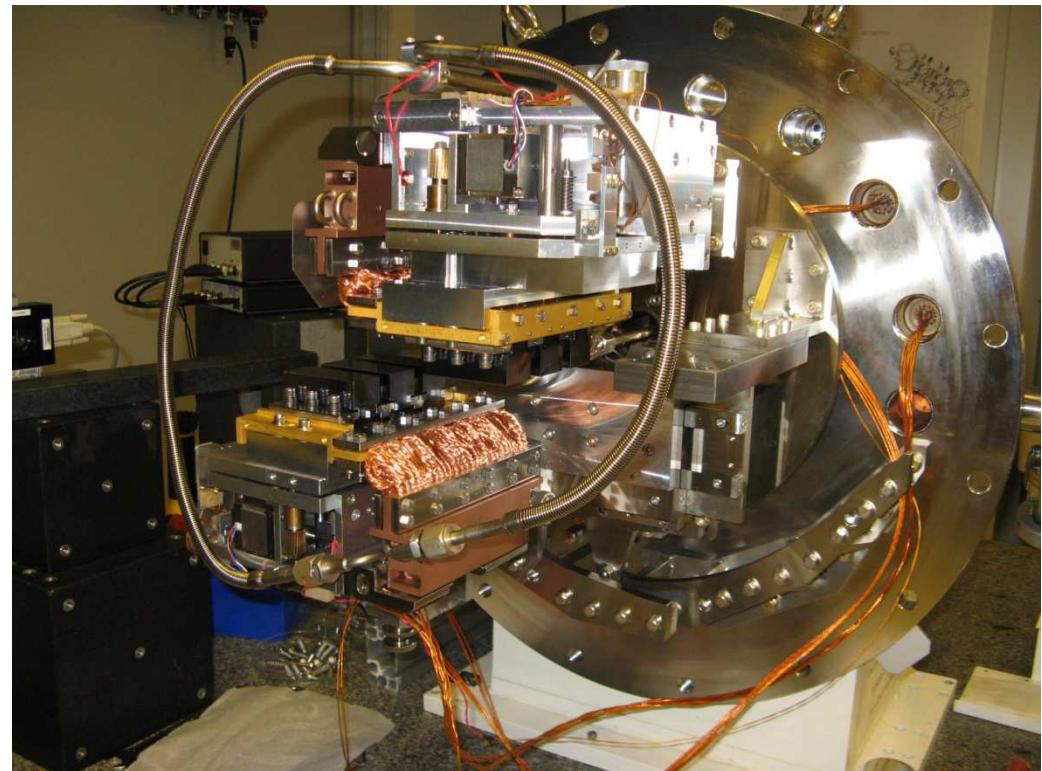


Longitudinal cam
= position of the beam
on the 2nd crystal

Kohzu has delivered only the mechanical parts.

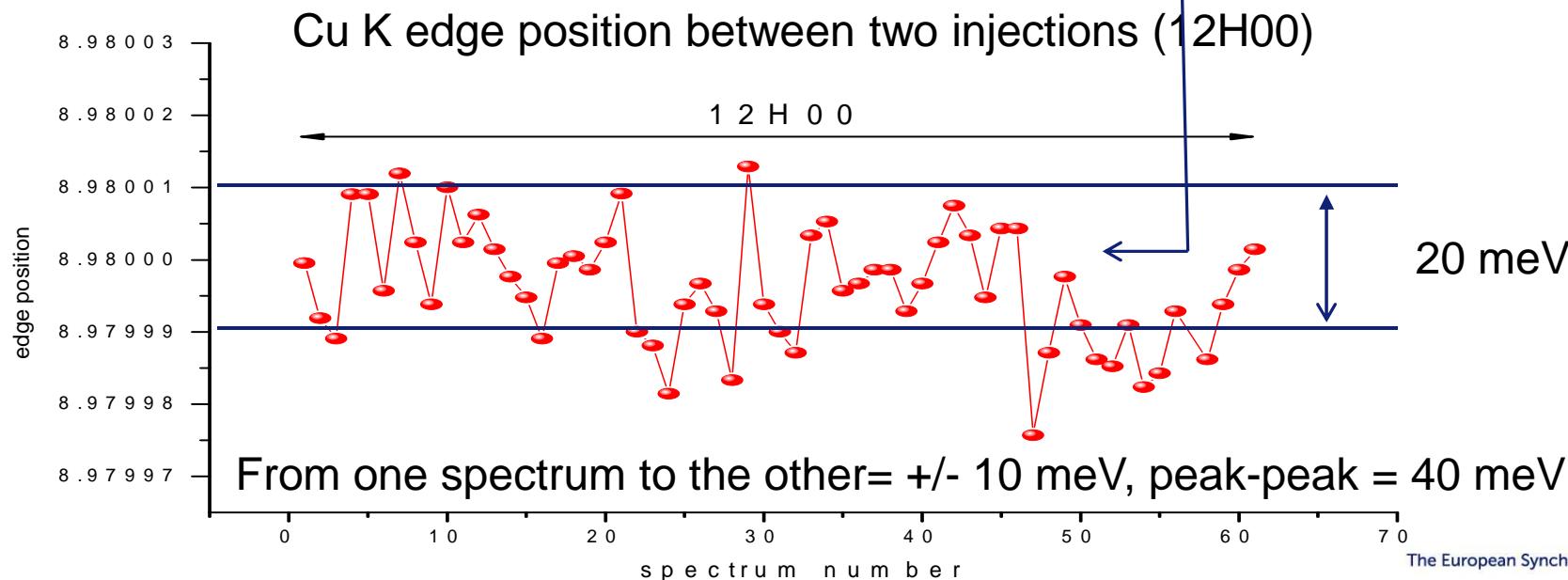
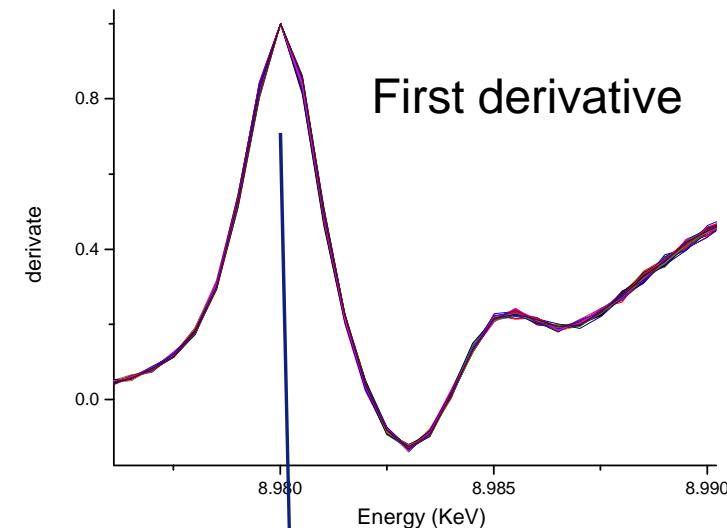
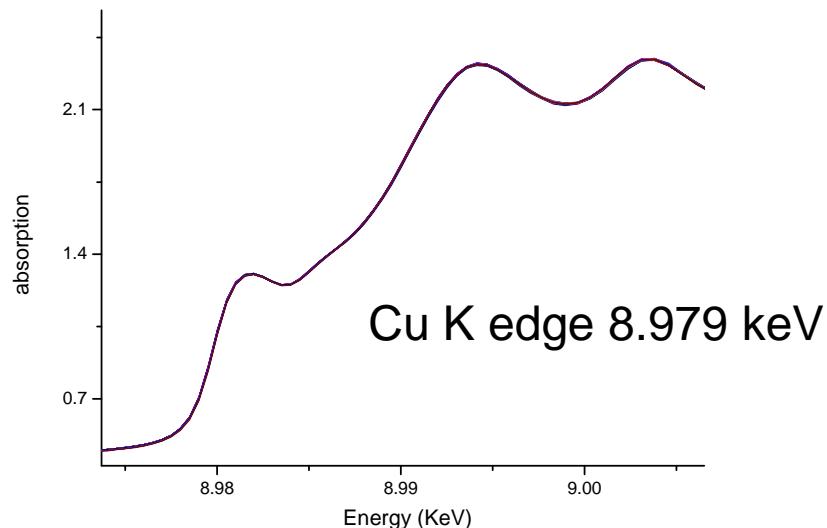
- Crystals/crystals mounting
- Cooling

BM23 monochromator



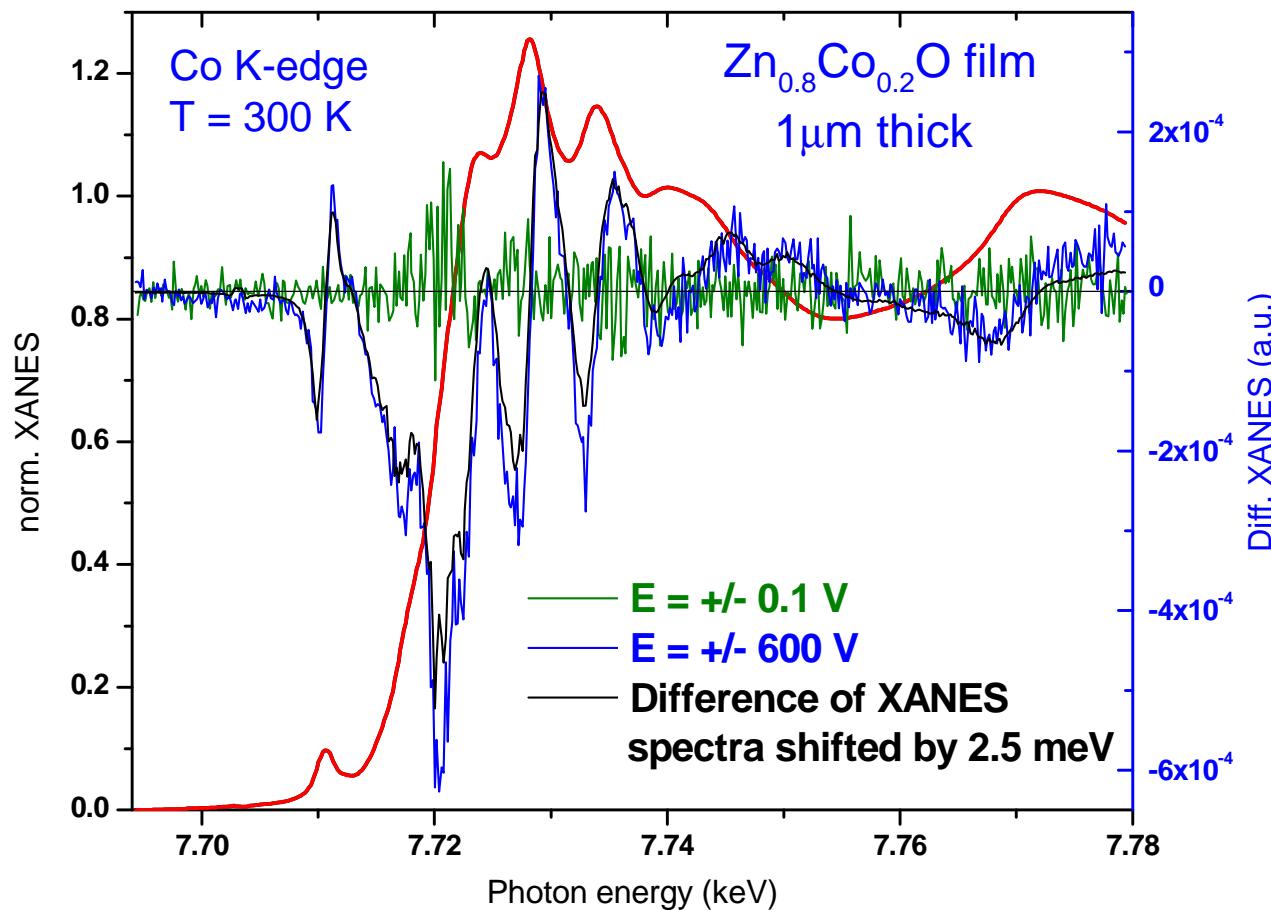
PERFORMANCE : REPEATABILITY

For a XAS beamline, the repeatability of the energy scale is crucial



PERFORMANCE : REPEATABILITY

For a differential measurement (XMCD, linear dichroism), where the difference between two successive spectra is performed, the repeatability of the energy scale is fundamental for the S/N ratio.



Slope of the Co K edge
→ $0.2 \mu/\text{eV}$
A 2.5 meV repeatability
error between two
successive scans is
equivalent to
→ $\Delta\mu = 0.0005$

A. Ney and V. Ney, Linz University, Austria

PERFORMANCE : PRECISION

$$\frac{\Delta E}{E} = \frac{\Delta \theta}{\tan \theta}$$

The energy at the edge can be calibrated

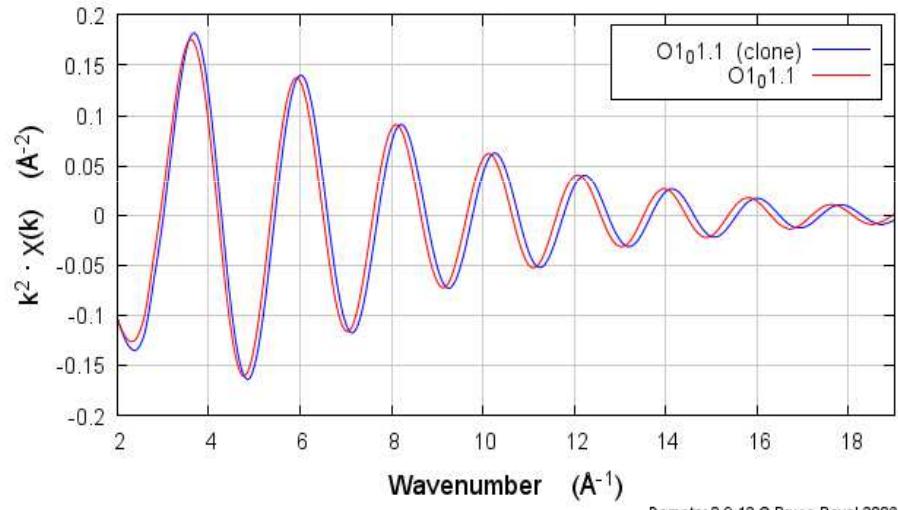
But a reasonable precision should be maintained during the EXAFS measurement

$k = 20 \text{ \AA}^{-1}$, 1500 eV after the edge

$$\Delta E_{\max} = 0.5 \text{ eV}$$

Error on photoelectron wave vector:

$$\frac{\Delta k}{k} = \frac{1}{2} \frac{\Delta E}{E_{kin}} = \frac{0.5}{1500} < 2 \times 10^{-4}$$



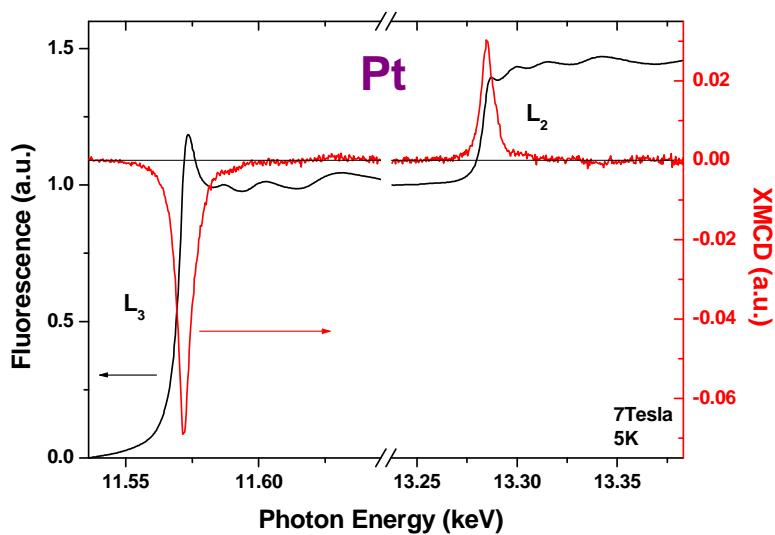
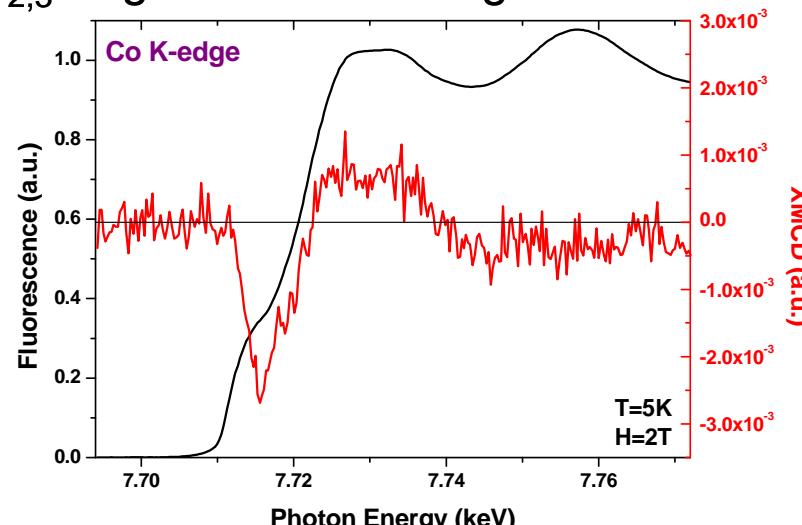
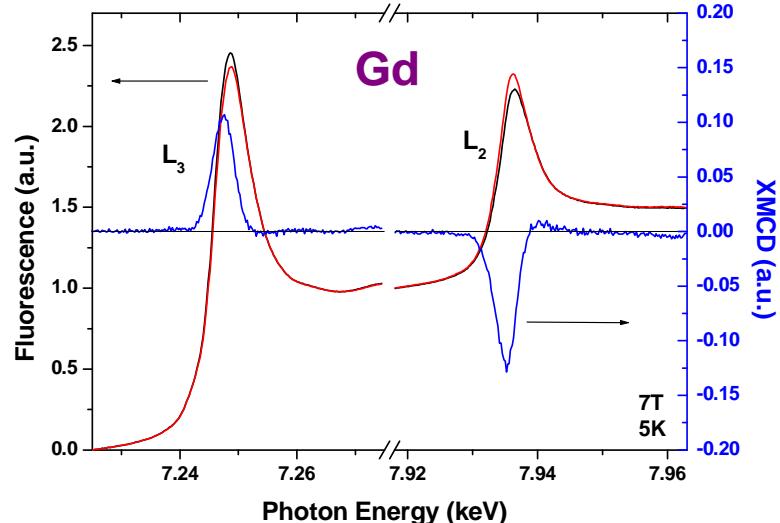
Error on distance:

$$\Delta R = R \frac{\Delta k}{k} < 2.5 \times 2 \times 10^{-4} = 0.0005 \text{ \AA}$$

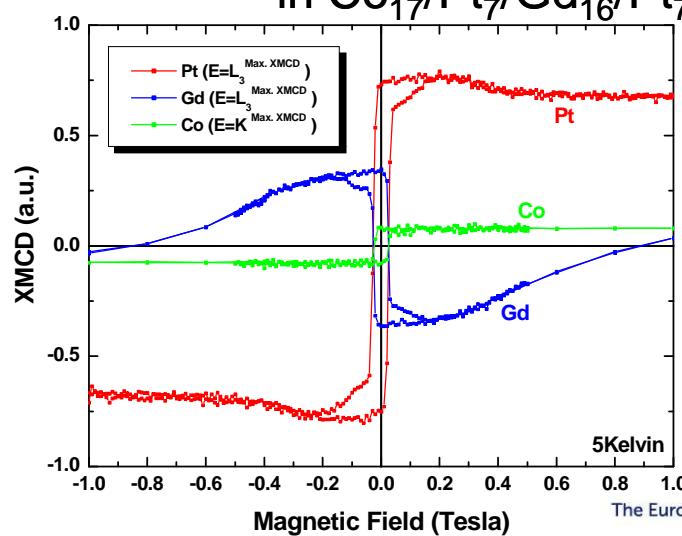
PERFORMANCE : PRECISION

For multi edge XANES measurements, high precision is also necessary.

XMCd at Pt and Gd L_{2,3}-edges and Co K-edge



Element selective hysteresis-loops in Co₁₇/Pt₇/Gd₁₆/Pt₇ multilayer

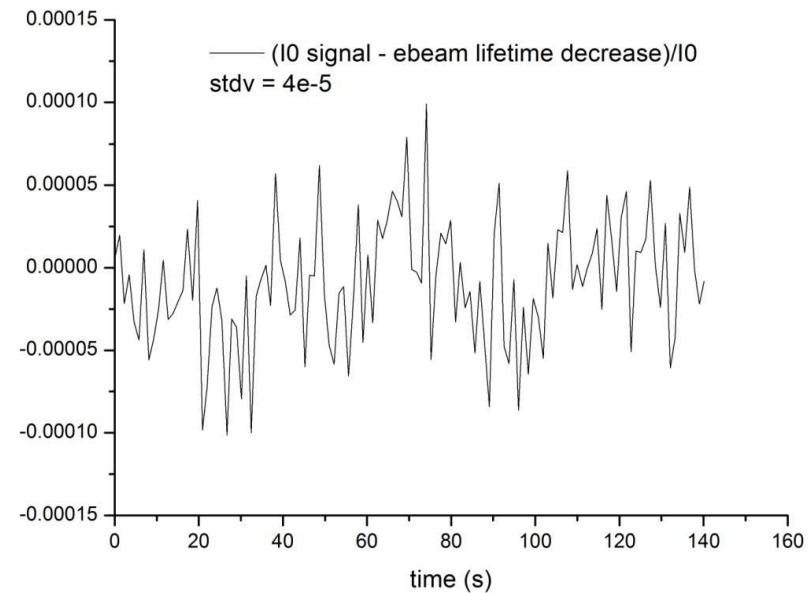
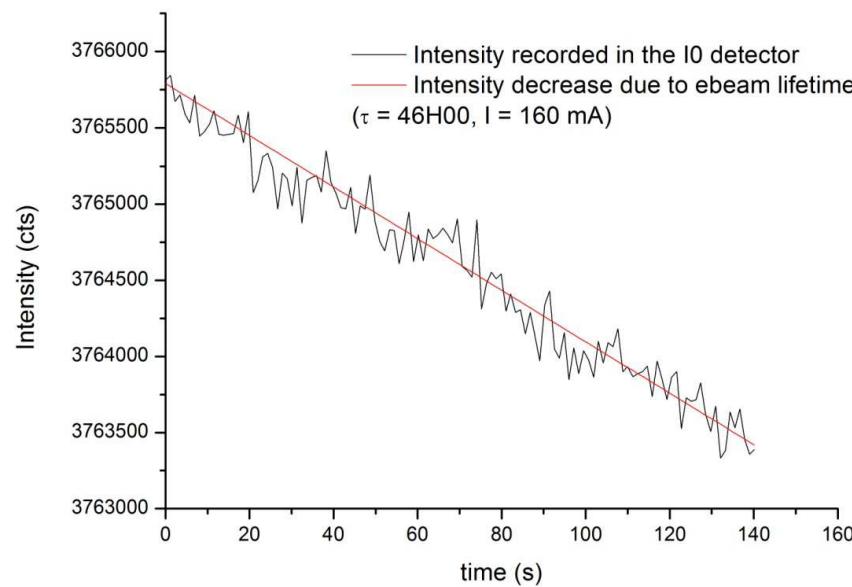


PERFORMANCE : STABILITY AT FIXED ENERGY

The stability of the beam intensity at fixed energy is very sensitive to any drift (thermal drifts or mechanical vibrations).

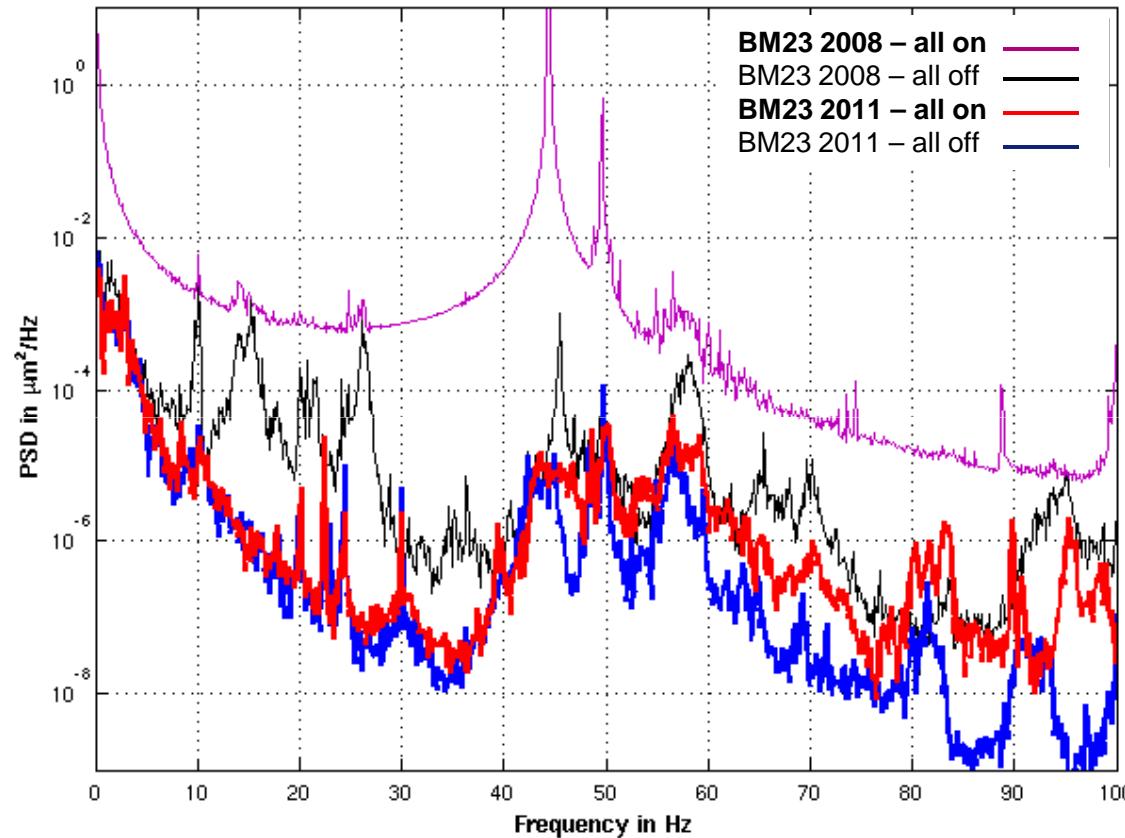
Important for XRF, combined diffraction and XAS in general !

Crucial for RXES



PERFORMANCE : STABILITY AT FIXED ENERGY

Mechanical stability, **vibration**: crucial for new applications like hyperspectral mapping where the **continuous scan** acquisition scheme is mandatory.



Upgrade of the monochromator support

Kohzu metallic support → ESRF granite support

Upgrade of the cooling system

He gas close circuit → ESRF LN₂ circuit

PERFORMANCE : CRYSTAL PARALLELISM AND FIXED EXIT DURING SCAN

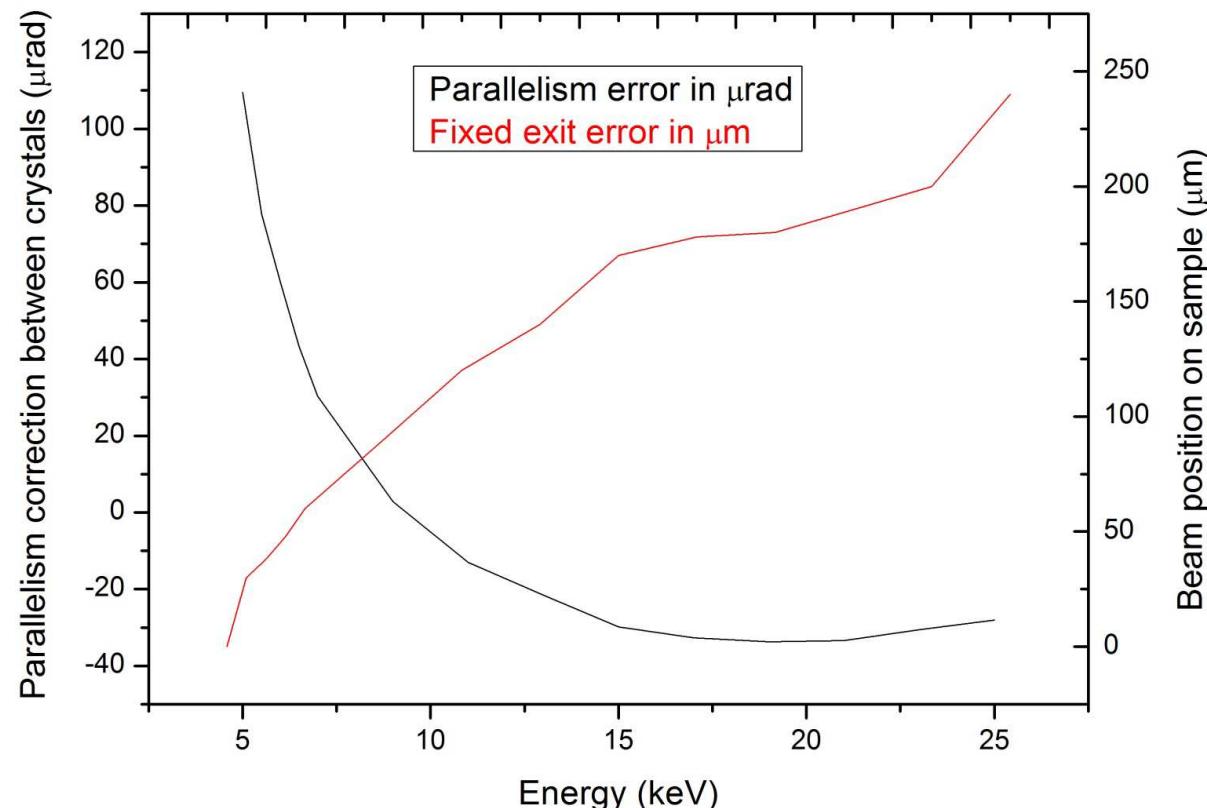
Main drawback of BM23 Kohzu monochromator

Crystal parallelism and “fixed” exit of the X-ray beam during scan

In average for BM23 :

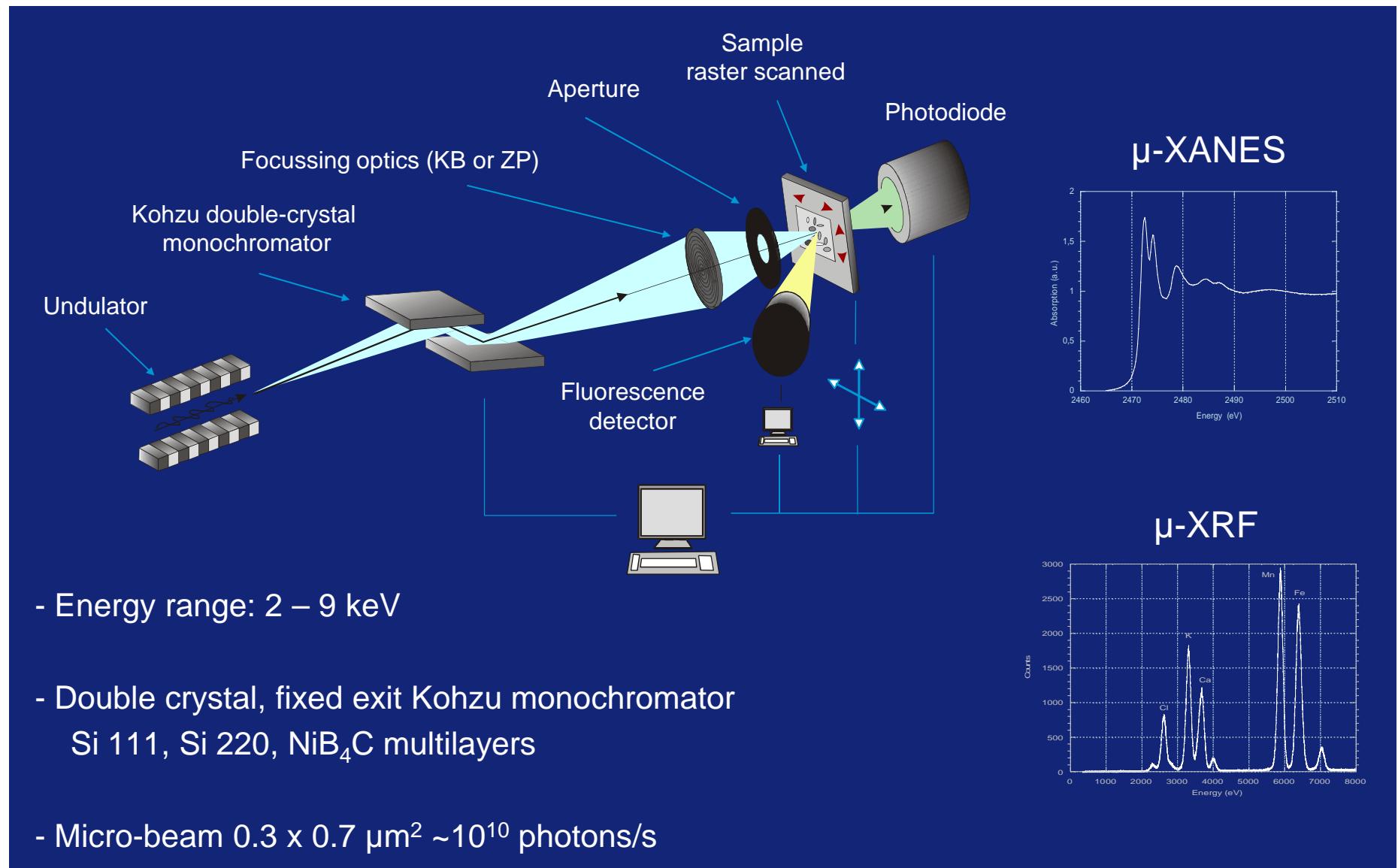
8 $\mu\text{rad}/\text{keV}$ (8.5 $\mu\text{rad}/\text{deg.}$)

12 $\mu\text{m}/\text{keV}$ (12.6 $\mu\text{m}/\text{deg.}$)



→ **feedback** on the piezo is **mandatory** to perform a XAS spectrum

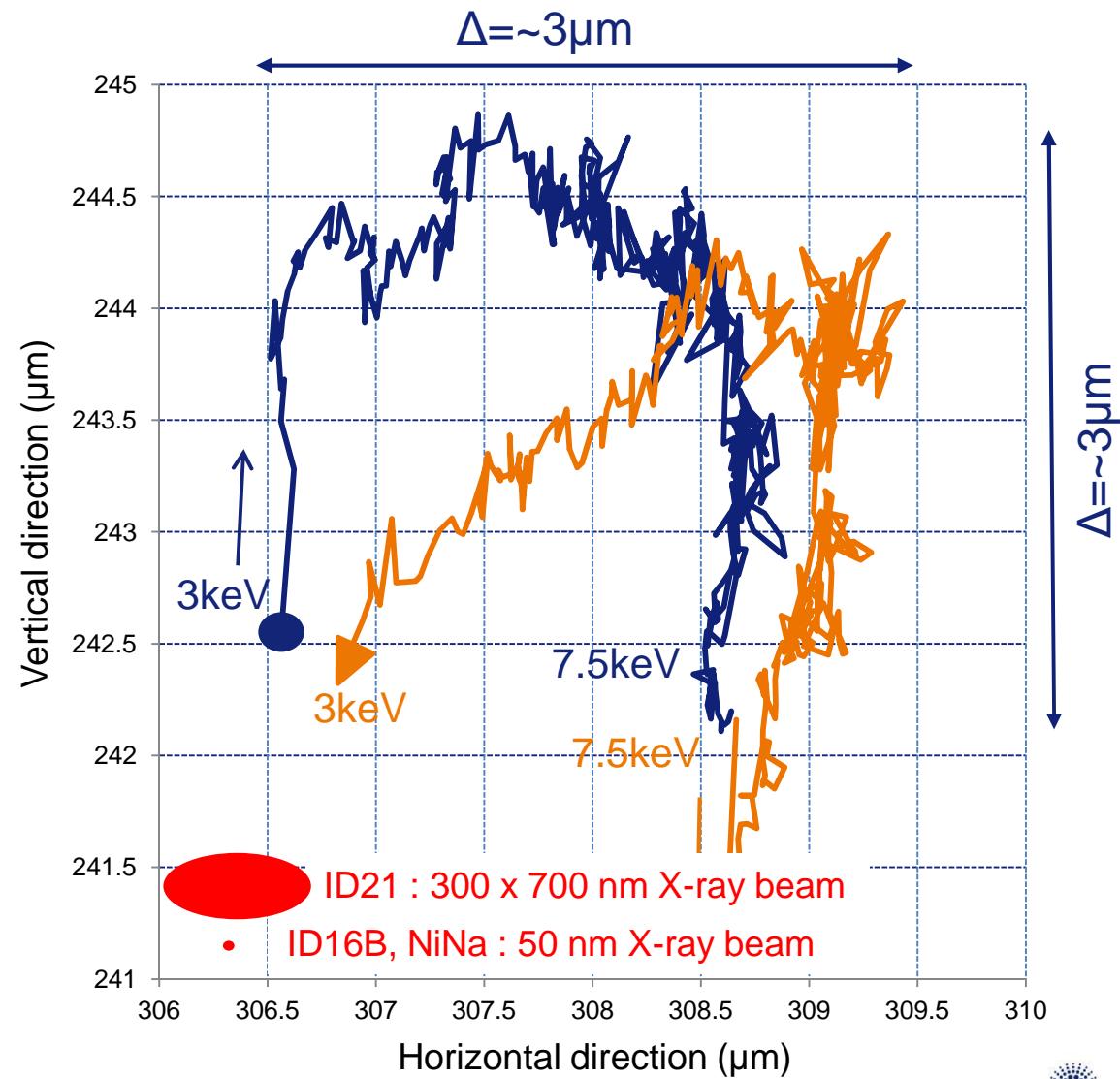
PERFORMANCE : CRYSTAL PARALLELISM AND FIXED EXIT DURING SCAN



PERFORMANCE : CRYSTAL PARALLELISM AND FIXED EXIT DURING SCAN

Micro-beam trajectory in sample plane during an energy scan

- Si (111) monochromator
- KB focused micro-beam
- Energy range : 3 keV to 7.5 keV and back, 10 eV steps
- Angular range: 41.23 to 15.28 °
- Micro-beam position measured on fluorescence screen in KB focal plane with video-microscope in BPM mode
- $\Delta=3\mu\text{m}$ in focal plane corresponds to $\Delta Ry=\sim 10\mu\text{rad}$

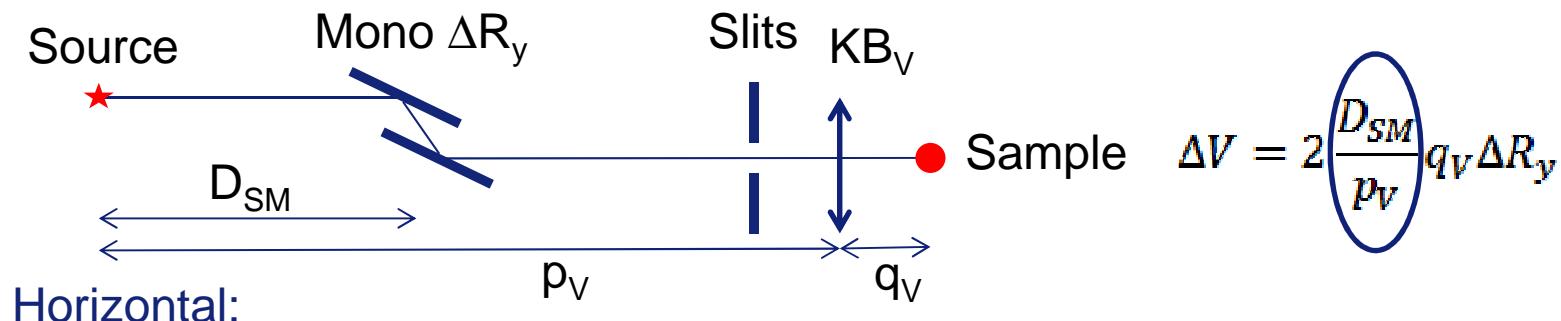


PERFORMANCE : CRYSTAL PARALLELISM AND FIXED EXIT DURING SCAN

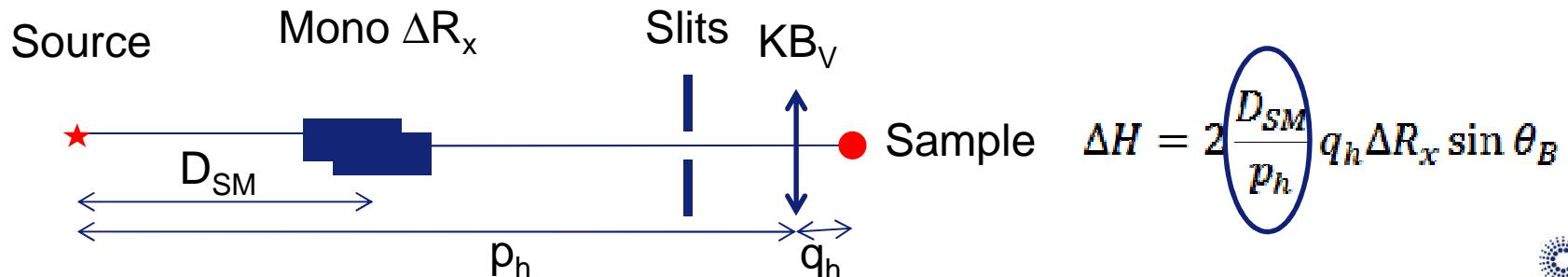
Micro-beam trajectory in sample plane during an energy scan

- 1- Beam movement is very reproducible
→ Present strategy : Compensation strategy “Spot tracking”
- 2 - Better monochromator performance
→ Stronger specifications on ΔR_y , ΔR_x and fixed exit
- 3 - Choice of the optical configuration to be less sensitive to monochromator imperfection

Vertical:



Horizontal:



PERFORMANCE : AGEING BEHAVIOR

	ID12	ID16	ID20	ID21	BM23	ID26
Delivery	1993	1995	1997	1997	1993	1995

Remarkable longevity :

The DCM are operational and daily used for 20 years (ID12/BM23) !

Modifications have been done

- Cooling (all)
- Crystals mounting (all)
- Geometry (ID20)
- Cam system (ID26/ID20)
- Motors



Maintenance performed regularly

- Crystals
- Mechanics (principal gear, reduction)
- Motors
- Setup of the cam/translations

4 generations later !



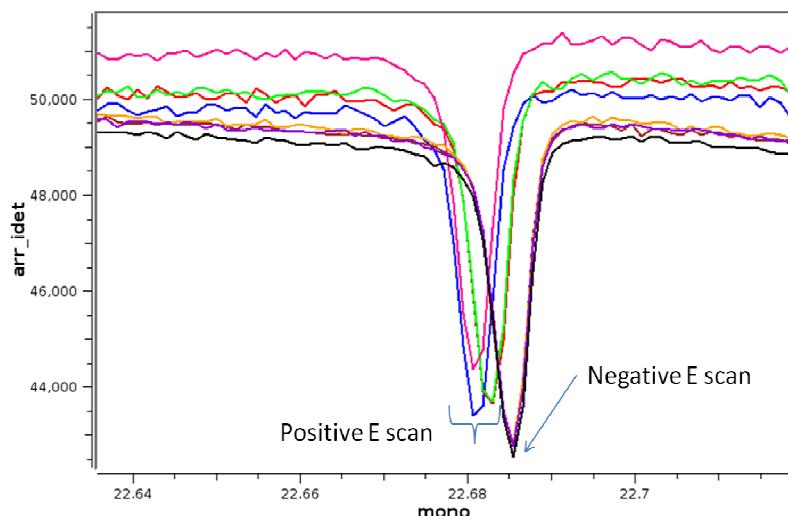
But certain parts have never been modified:

- Main axis
- Ferrofluidics seal

PERFORMANCE : AGEING BEHAVIOR

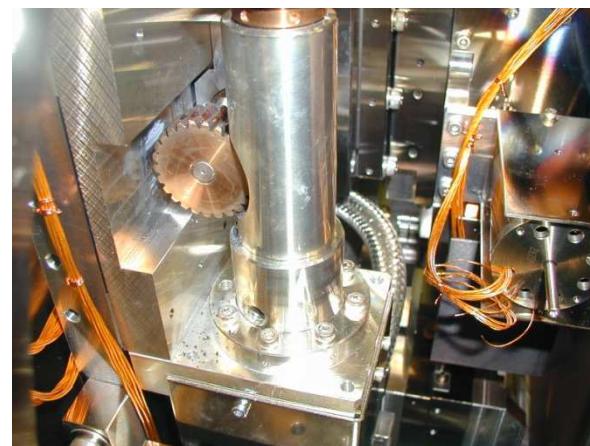
	ID12	ID16	ID20	ID21	BM23	ID26
Delivery	1993	1995	1997	1997	1993	1995

... but start to suffer from ageing



Degradation of the energy scale stability around certain very used edges

... And also a conception that is perhaps not adapted to new spectroscopy challenges (thermal, vibration, control, global conception)



Mechanical wear on the worm gears damaged and replaced



Main rotation motor replaced

Ageing : clear checks and maintenance procedures

FUTURE REQUIREMENT : SCIENTIFIC AND TECHNICAL GOALS

	ID12	ID16	ID20	ID21	BM23	ID26
EXAFS	Y	Y	N	Y	Y	Y
XANES	Y	Y	Y	Y	Y	Y
XRF	Y	Y	Y	Y	Y	Y
RXES/RIXS/NRIXS	Y	Y	Y	Y	Y	Y
Final state E scan	N	N	Y	N	N	Y
Combined diffraction	Y	Y	Y	Y	Y	Y
Micro/nano beam	Y	Y	N	Y	Y	Y
XRF Mapping	Y	Y	N	Y	Y	Y
Hyperspectral mapping	N	Y	N	Y	Y	Y
Step by step	Y	Y	Y	Y	Y	Y
Continuous scan	N	Y	N	Y	Y	Y

No request for asymmetric cuts, detuning mode, sagittal focusing or polarization transfer specifications.

FUTURE REQUIREMENTS : XAS

	ID12	ID16	ID20	ID21	BM23	ID26
EXAFS	Y	Y	N	Y	Y	Y
XANES	Y	Y	Y	Y	Y	Y

- Cover large number of elements edges → Accessible angular range of the mono
- Number and type of crystals mounted inside the monochromator
- Scan the angle (energy) → $K = 20 \text{ \AA}^{-1} = 1500 \text{ eV}$ after the edge
 - At high energy = 0.3 deg.
 - At low energy ... 20 deg.

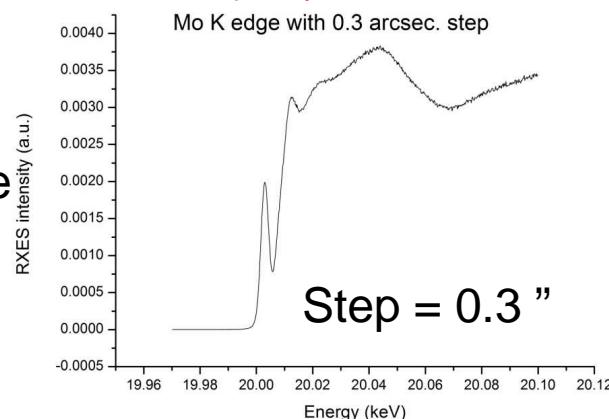
	ID12	ID16	ID20	ID21	BM23	ID26
Min. Bragg angle (deg.)	7	3	5	10	2	4
Max. Bragg angle (deg.)	80	20	30	81	35	70
Nb. of crystals pairs	2	2	1	2 to 3	2 to 3	>2
Crystal types	111/?	111/311	111	111/311/?	111/311 /511	111/220 311/411
Scan angle range (deg.)	0.1 - 4	0.2 - 4	0.1 - 1	0.5 - 20	0.2 - 8	0.2 - 8

FUTURE REQUIREMENTS : XAS

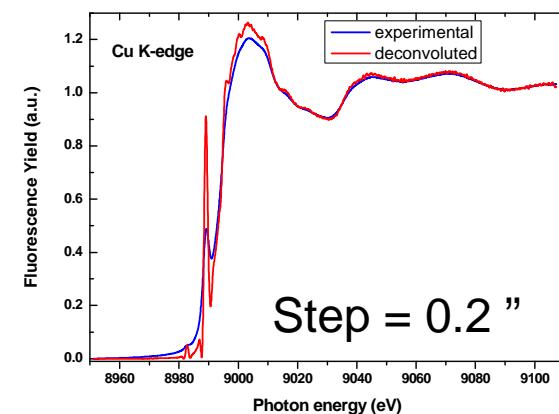
	ID12	ID16	ID20	ID21	BM23	ID26
XANES	Y	Y	Y	Y	Y	Y
RXES	Y	Y	Y	Y	Y	Y

- Minimum angle step :
 - Energy step needed linked to the core hole life time γ
 - γ/E (k edge) $\approx 2.10^{-4}$
- A minimum step resolution of $\gamma/20$ is needed (≈ 0.1 eV step at Fe K edge, for example)
 $\Delta\theta = 3 \mu\text{rad}$ at Fe K edge ... and $\Delta\theta = 0.5 \mu\text{rad}$ at W K edge
- Advanced spectroscopy : $\gamma/40$ could be needed

ID26 : RXES
at Mo K edge



ID12 : Cu k deconvolution techniques



	ID12	ID16	ID20	ID21	BM23	ID26
Min. step angle (arcsec.)	0.1	0.1	0.2	0.2	0.1	0.1

FUTURE REQUIREMENTS : ENERGY RESOLUTION

	ID12	ID16	ID20	ID21	BM23	ID26
EXAFS	Y	Y	N	Y	Y	Y
XANES	Y	Y	Y	Y	Y	Y
RIXS	Y	Y	Y	Y	Y	Y

•Energy resolution : $\Delta E / E = (\omega_D^2 + \Psi^2)^{1/2} \cot \theta_B$

Darwin width ω_D is linked to the crystal

Ψ is a complex contribution that includes
 The divergence of the X-ray beam
 All deformations of the crystal that can affect the front wave
 (crystal fixation, thermal deformation, surface polishing ...)

$$\Psi \ll \omega_D$$

	ID12	ID16	ID20	ID21	BM23	ID26
Intrinsic broadening Ψ (μrad)	< 1	< 1	< 1	< 4	< 1	< 1

FUTURE REQUIREMENTS : REPEATABILITY/STABILITY OF THE ENERGY SCALE

	ID12	ID16	ID20	ID21	BM23	ID26
XANES	Y	Y	Y	Y	Y	Y
Hyperspectral mapping	N	Y	N	Y	Y	Y

In static mode

For XRF, not so crucial → < 1 eV at 20 keV (1 arcsec.)

For combined XRD → < 1 eV at 25 keV (1 arcsec.)

In scanning mode

From one scan to the other : repeatability in the order of 10 meV at Fe k edge

→ 0.5 µrad (0.1 '') on the main Bragg angle

Stability: Maintain the repeatability for 24H00

	ID12	ID16	ID20	ID21	BM23	ID26
θ _B repeatability (arcsec.) over 24H00	<< 0.1	0.1	0.1	0.5	0.1	< 0.1

FUTURE REQUIREMENTS : PRECISION OF THE ENERGY SCALE

	ID12	ID16	ID20	ID21	BM23	ID26
XANES (multi edges)	Y	Y	Y	Y	Y	Y
EXAFS	Y	Y	N	Y	Y	Y

EXAFS : the precision of the energy scale determines the precision on the distance of neighbors (over one EXAFS scan) → 0.5 eV : 5 arcsec.

XANES/XMCD : multiple edges measurements (over 10 deg.) < 100 meV → **1 arcsec.**

	ID12	ID16	ID20	ID21	BM23	ID26
Angle precision (arcsec.)	< 0.5	1	1	1	1	< 0.5

FUTURE REQUIREMENTS : BEAM POSITION STABILITY (STATIC MODE)

	ID12	ID16	ID20	ID21	BM23	ID26
XRF	Y	Y	Y	Y	Y	Y
XRF maps	Y	Y	N	Y	Y	Y

Notion of stability in **static mode** is linked to the **duration of the experiment (maps)**

Constraints could be different between
macro beam operation
micro/nano beam operation

The stability requirements are defined **on the sample** and then interpreted in terms of thermal drifts, mechanical drifts and vibrations limits on the monochromator

	ID12	ID16	ID20	ID21	BM23	ID26
$\Delta z, \Delta y$ on sample over 24H00, unfocused (μm)	1 by 1	0.005 by 0.005	0.5 by 0.5	0.03 by 0.03	1 by 1 0.2 by 0.2	1 by 1

FUTURE REQUIREMENTS : BEAM POSITION STABILITY (SCANNING MODE)

	ID12	ID16	ID20	ID21	BM23	ID26
XANES	Y	Y	Y	Y	Y	Y
EXAFS	Y	Y	N	Y	Y	Y

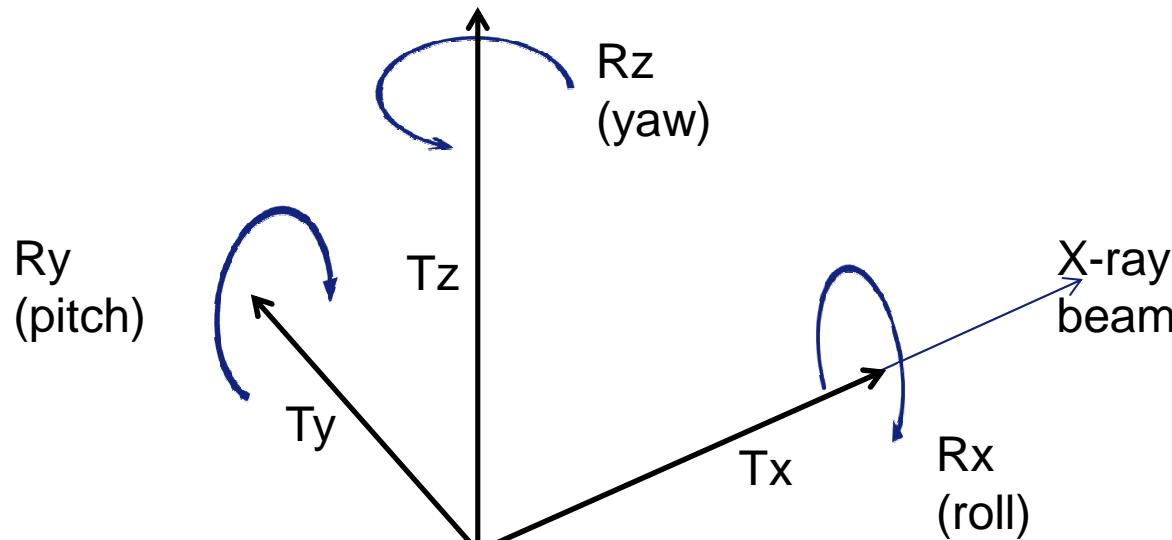
Notion of stability in **scanning mode** is linked to the **angular range of the scan**.

Again, constraints could be different between
macro beam operation
micro/nano beam operation

The monochromator should be intrinsically stable and errors should be reproducible (lookup tables corrections) as much as possible. Residuals errors could be optimized with active feedback : control/communication issue

	ID12	ID16	ID20	ID21	BM23	ID26
$\Delta z, \Delta y$ on sample over 1 deg. (μm)	1 by 1	0.01 by 0.01	0.5 by 0.5	0.05 by 0.05	0.2 by 0.2	1 by 1
$\Delta z, \Delta y$ on sample over 5 deg. (μm)	1 by 1	0.025 by 0.025	NA	0.15 by 0.15	0.5 by 0.5	1 by 1
$\Delta z, \Delta y$ on sample over 20 deg. (μm)	NA	NA	NA	0.15 by 0.15	NA	NA

FUTURE REQUIREMENTS : BEAM POSITION STABILITY (SCANNING MODE)



	ID12	ID16	ID20	ID21	BM23	ID26
ΔR_y over 1 deg. (μrad)	0.2	0.15	0.2	0.1	0.1	0.1
ΔR_y over 5 deg. (μrad)	0.5	0.5	NA	0.2	0.25	0.25
ΔR_y over 20 deg. (μrad)	NA	NA	NA	0.5	NA	NA
ΔR_x over 1 deg. (μrad)	0.2	1.5	3	0.7	0.7	0.7
ΔR_x over 5 deg. (μrad)	0.5	1.5	NA	1.4	1.4	1.4
ΔR_x over 20 deg. (μrad)	NA	NA	NA	1	NA	NA
ΔR_z (μrad)	10	10	10	10	10	10

FUTURE REQUIREMENTS : CONTINUOUS SCAN

	ID12	ID16	ID20	ID21	BM23	ID26
Continuous scan	N	Y	N	Y	Y	Y

Stability (vibration) issues are critical for continuous scan mode as the energy (angular) scale becomes also a time scale.

Bi-directional energy scan becomes important for rapid continuous scan.

Control issues:

The monochromator should communicate with the detection and with the source.

Complex trajectory of the Bragg angle could be envisaged

Accurate measurement of the Bragg angle at full speed

	ID12	ID16	ID20	ID21	BM23	ID26
EXAFS (s/scan)	NA	3	NA	10	1	1
XANES (s/scan)	NA	1	NA	3	0.2	0.2

CONCLUSIONS

Vertical and Horizontal homogeneity
of the requests

Angle range: Low energy / high
energy monochromator compatible ?

We have presented a list of requirements
for a future double crystal monochromator
dedicated to spectroscopy....

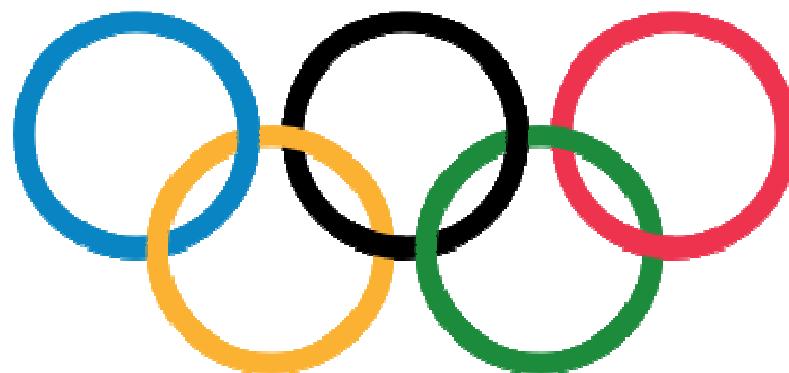
.... That are in general a factor 10 more
stringent than the present performance
announced by the main industrial suppliers

Chapter and Topic	unit	ID16	ID20	B9.23	ID21	ID26	ID12	Comments
Bragg angle configuration								
Max Bragg deg.	20	30	35	81	70	80		Energy range
Min Bragg deg.	3	5	7	10	4	7		arcsec (0.1" = 0.5 microrad) for doing scientific experiments should be a fraction of the line above: 1/4 about (engineering feature)
Min angle step change to	0.1	0.2	0.1	0.2	0.1	0.1		
Angular resolution								
angle total repeat								
total angle precision definition				0.1?				? Absolute angles (deg) : precision de EXAFS
Bragg angle scans								
angle range XANES deg	4 - 0.1	NA	8 - 0.3	40 - 2	8.0 - 1	15 - 0.5	deg	
angle range XANES deg	0.6 - 0.1	1-0.2	1-0.2	20 - 0.8	1-0.2	2 - 0.2	deg	
Continuous scan velocity EXAFS s	3	NA			1	NA	s	
Continuous scan velocity EXAFS ms/pt	1	NA		100	1	NA	ms/pt	
Continuous scan velocity XANES s	1	NA	0.3		0.1	NA	s	
Continuous scan velocity XANES ms/pt	1	NA		100	1	NA	ms/pt	
step by step motor velocity s/deg	3 (no spec)	3						s/deg vitesse moteur
Continuous Scan frequency EXAFS Hz	1	NA			0.1	NA	Hz	
Continuous Scan frequency XANES Hz	1	NA			1	NA	Hz	
Triangular scan time based								
Triangular scan Energy based								
continuous scan	Y	N		Y	Y	N		
step by step	Y	Y		Y	Y	Y		
fixed Energy	Y	Y		Y	Y	Y		
Fixed energy stability at meV/day	23.8	50	2	100 over 12 H	100	<10	10 meV/day at 7 keV = 0.5 microrad	
Fixed energy stability in time	1						microrad/day at 7 keV = 0.5 microrad	
angle repeatability forward	10	10	5	50	10	<10	meV at 7keV	
angle repeatability backward	10	10	5	50	10	<10		
angle precision forward	100	100	10?	100?	10/100	<50	meV depends on the stroke	
angle precision backward	100	100	10?	100?	10/100	<50		
Crystal setup Thermal Stability								
stabilisation time constant to energy change min	10	10	10	NA(10)	~2		mn (for a large angle change >3 deg)	
energy thermal securing time during scanning s	0.3	0.1	0	0.1	0.1	0.1	<10 (Time needed to set new stable temperature)	
Temperature first crystal 'K	130	130	10	130	130	130	point, step angle)	K
Temperature second crystal 'K	130	130	10	130	130	130	similar to the 1st crystal temperature	
Temperature of the mechanics						>5	°C	
Crystal parallelism (without feedback correction)								
ΔR_y static jrd								
ΔR_x static jrd								
ΔR_y during scan jrd	0.15	1	2	0.5	0.5	0.5	0.5 microrad (Parallelism error over 1 degree)	
ΔR_x during scan jrd	3	3	3	10 micron a 30 m + K		1	1 microrad (Parallelism error over 1 degree)	
ΔR_z during scan jrd	NA(10)	30	1	NA	NA(10)	1	1 microrad (Parallelism error over 1 degree)	
DyR Parallelism over 10 degree jrd	1.5	5	5	0.2	2.5	2		
DxR Parallelism 10 degree jrd	5	15	30	0.2	0 micron a 30 m + K	2		
DzR Parallelism 10 degree jrd	NA(10)	30	30	NA	NA(10)	2		
Dy Over 45 jrd	NA	NA	N	3	NA			
Dx Over 45 jrd	NA	NA	N	3	NA			
Crystal setup Mechanical stability								
Dz-Dy								
Dz-Dy at sample position-typically μm	0.005 x 0.005	0.5 by 0.5	1 by 1		1 by 1	2.5x2.5 over E Static not focused		
Dz-Dy at sample position-typically μm	0.005 x 0.005	0.5 by 0.5	1 by 1		1 by 1	2.5x2.5 over E Static focused		
Dz-Dy at sample position-typically μm	NA	NA	5 by 5		5 by 5	2.5x2.5 over E not focused operation ?		
Dz-Dy	0.025 x 0.025	0.5 by 0.5	0.2 by 0.2		5 by 5	<0.05x0.05 focused operation		
Crystal setup								
Number of crystal	2	1	2 to 3	2 to 3	>2	2		
crystal types	111/311	111	111/11	111/311/KTP	111/220/311/monocr.	Si 111 / Beryll		
crystal size mm	25 x 50	?	25 x 50	25x50 for the monocr.	25x50/25x50	active size: width - length		
Asymmetry	0	0	0	0	0	0		
Crystal parallelism with piezo correction								
Crystal parallelism, Energy jrd	0.15	0.2	0	0	0.1	0.2	microrad with piezo correction	
Crystal parallelism, position jrd	3 and 0.15	3	0.2 and 0.12	0.2 and 0.2	0 micron a 30 m + K	0.3	H and V in microrad - This value corresponds to acceptable beam movement on the sample (spatial requirement) range ?	
Intrinsic broadening of the reflexion jrd	<1?	<1	<	<4	0.7	<1	microrad with beam	
Beam Thermal load								
Beam size	1x1	1x1	15 x 1		1x1	1x1	mm (HxV) on mono FWHM?	
Max Power density w/mm ²			20				500 W/mm ²	
Max total power w			10				W-Check consequences of new lattice	

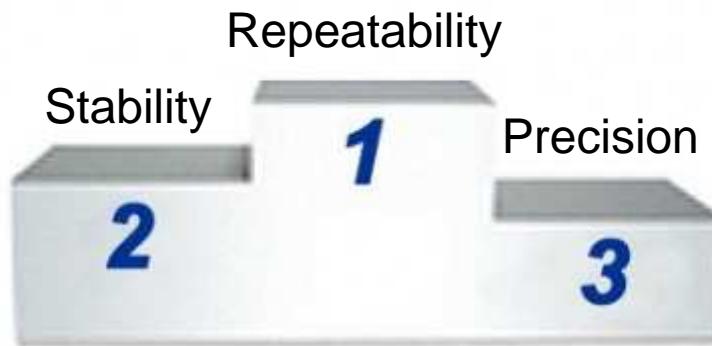
The European Synchrotron



CONCLUSIONS



And for the double crystal monochromator dedicated to spectroscopy
... the winners are....



Thank you for your attention